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<b>UTILITY PATENT APPLICATION TRANSMITTAL</b>  <i>(Only for new nonprovisional applications under 37 C.F.R. § 1.53 (b))</i>	Attorney Docket No. 7703-PA02	
	First Inventor or Application Identifier John E. Tomaschke	
	Title LOW PRESSURE REVERSE OSMOSIS AND NANOFILTRATION MEMBRANES AND METHOD FOR THE PRODUCTION THEREOF	
	Express Mail Label No. EL584702392US	

<b>APPLICATION ELEMENTS</b> See MPEP chapter 600 concerning utility patent application contents.	ADDRESS TO: Assistant Commissioner for Patents Box Patent Application Washington, DC 20231
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1. ☒ \*Fee Transmittal Form (e.g., PTO/SB/17)  
*(Submit an original and a duplicate for fee processing)*
2. ☒ Specification [Total Pages 19]  
*(preferred arrangement set forth below)*
- Descriptive title of invention
  - Cross References to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to Microfiche Appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claims(s)
  - Abstract of the Disclosure
3. ☐ Drawing(s) (35 U.S.C. 113) [Total Sheets ☐
4. ☐ Oath or Declaration [Total Pages 2]
- a. ☒ Newly executed (original or copy)
- b. ☐ Copy from a prior application (37 C.F.R. § 1.63 (d))  
*(for continuation/divisional with Box 16 completed)*
- i. ☐ DELETION OF INVENTOR(S)  
 Signed Statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. §§1.63(d)(2) and 1.33(b)
5. ☐ Microfiche Computer Program (Appendix)
6. ☐ Nucleotide and/or Amino Acid Sequence Submission  
*(if applicable, all necessary)*
- a. ☐ Computer Readable Copy
- b. ☐ Paper Copy (identical to computer copy)
- c. ☐ Statement verifying identity of above copies

**ACCOMPANYING APPLICATION PARTS**

7. ☒ Assignment Papers (cover sheet & document(s))
8. ☐ 37 CFR §3.73(b) Statement ☒ Power of Attorney  
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9. ☐ English Translation Document (if applicable)
10. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
11. ☐ Preliminary Amendment
12. ☒ Return Receipt Postcard (MPEP 503)  
*(Should be specifically itemized)*
13. ☐ \*Small Entity Statement(s) ☐ Statement filed in prior application, Status still proper and desired  
*(PTO/SB/09-12)*
14. ☐ Certified copy of Priority Documents(s)  
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16. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information below and in a preliminary amendment:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior Application No: \_\_\_\_\_

Prior application information: Examiner \_\_\_\_\_ Group / Art Unit \_\_\_\_\_

**For CONTINUATION or DIVISIONAL APPS only:** The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

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jc825 U.S. PTO  
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# FEE TRANSMITTAL for FY 2000

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See 37 C.F.R. §§ 1.27 and 1.28.

TOTAL AMOUNT OF PAYMENT (\$ 876.00)

## Complete if Known

Application Number	Not Yet Assigned
Filing Date	November 28, 2000
First Named Inventor	John E. Tomaschke
Examiner Name	Unknown
Group / Art Unit	Unknown
Attorney Docket No.	7703-PA02

## METHOD OF PAYMENT (check one)

1. ☐ The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

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## FEE CALCULATION

### 1. BASIC FILING FEE

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
101	710	201	355	Utility filing fee	710
106	320	206	160	Design filing fee	
107	490	207	245	Plant filing fee	
108	710	208	355	Reissue filing fee	
114	150	214	75	Provisional filing fee	

SUBTOTAL (1) (\$ 710.00)

### 2. EXTRA CLAIM FEES

Total Claims		Extra Claims		Fee from below	Fee Paid
27	-20**=	7	X	18	126
2	-3**=		X		

\*\*or number previously paid, if greater. For Reissues, see below.

Large Entity		Small Entity		Fee Description
Fee Code	Fee (\$)	Fee Code	Fee (\$)	
103	18	203	9	Claims in excess of 20
102	80	202	40	Independent claims excess of 3
104	270	204	135	Multiple dependent claim, if not paid
109	80	209	40	**Reissue independent claims over original patent
110	18	210	9	**Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$ 126.00)

## FEE CALCULATION (continued)


### 3. ADDITIONAL FEES

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
105	130	205	65	Surcharge - late filing fee or oath	
127	50	227	25	Surcharge - Late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	390	216	195	Extension for reply within second month	
117	890	217	445	Extension for reply within third month	
118	1,390	218	695	Extension for reply within fourth month	
128	1,890	228	945	Extension for reply within fifth month	
119	310	219	155	Notice of Appeal	
120	310	220	155	Filing a brief in support of appeal	
121	270	221	135	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,240	241	620	Petition to revive - unintentional	
142	1,240	242	620	Utility issue fee (or reissue)	
143	440	243	220	Design issue fee	
144	600	244	300	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Petitions related to provisional applications	
126	240	126	240	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per property (times number of properties)	40
146	710	246	355	Filing a submission after final rejection (37 CFR 1.129(a))	
149	710	249	355	For each additional invention to be examined (37 CFR 1.129(b))	
Other fee (specify) _____					
Other fee (specify) _____					

\* Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ 40.00)

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## **LOW PRESSURE REVERSE OSMOSIS AND NANOFILTRATION MEMBRANES AND METHOD FOR THE PRODUCTION THEREOF**

### **BACKGROUND OF THE INVENTION**

[0001] This invention pertains to reverse osmosis or nanofiltration membranes used for desalination of water or removal of other solutes from liquids. There is an ever-increasing need for membranes which can perform removal of solutes at lower operating pressures, thus reducing energy requirements. The goal of development efforts is to increase the water permeability of such membranes while limiting the amount of salt or other solute passage. U.S. Patents Nos. 4,765,897 and 4,812,270 describe the use of strong mineral acids followed by treatment of rejection-enhancing agents to produce low pressure water softening membranes. However, these membranes, are limited to 95 percent or less rejection of magnesium sulfate and even lower rejection of sodium chloride. A further disadvantage of the prior art processes is the requirement for an additional polymeric treatment step to repair the damage to the salt rejecting layer of the membrane caused by the strong mineral acid treatment step.

[0002] Desirable therefore are high flux, higher solute rejection membranes operable at very low pressures which can be prepared by an efficient one-step treatment process. Specifically desired in nanofiltration applications is a membrane which has a flux of at least 15 gallons per square foot per day (gfd) and a sodium chloride rejection of at least about 20% when pressurized to 75 pounds per square inch (psi) using 0.05 weight percent sodium chloride. When tested on 0.2 weight percent magnesium sulfate under this pressure rejection should typically be at least about 90%.

### **SUMMARY OF THE INVENTION**

[0003] The subjects of the present invention are Improved low pressure reverse osmosis and nanofiltration membranes and the process for their preparation. These membranes are produced by treating existing reverse osmosis membranes to significantly and uniquely enhance their properties. More specifically, such

enhancement is obtained by contacting the salt rejecting layer of a crosslinked polyamide reverse osmosis membrane with an organic sulfonic acid compound, followed by optional drying at moderate temperature for a duration adequate to yield a membrane with a flux of at least about 15 gfd and sodium chloride rejection of at least about 20 percent when tested on 0.05 weight percent sodium chloride at 75 psi and 25°C. This membrane requires no additional treatment involving rejection enhancing agents and can typically reject over 95 percent magnesium sulfate as a 0.2 weight percent aqueous concentration under the same test pressure. (As used herein, an "organic sulfonic acid compound" is one which is formed directly as an organic acid or which has been formed indirectly from an organic acid salt.)

[0004] Membranes of this invention prepared by this novel process exhibit significantly higher divalent ion rejections at similar fluxes than membranes treated by prior art acid processes. Additionally, the current invention is more economical than such prior art acid processes.

#### **DETAILED DESCRIPTION AND PREFERRED EMBODIMENTS**

[0005] Crosslinked polyamide reverse osmosis membranes prepared via various starting materials and techniques are well described. Examples of such membranes can be found in US Patents Nos. 4,259,183, 4,277,344, 4,520,044, 4,761,234, 4,872,984, 5,254,261, and 5,576,057. US Patent No. 5,576,057 describes a useful illustrative example in which crosslinked aromatic polyamide membranes are prepared via an interfacial reaction of monomers.

[0006] It is preferable that the untreated reverse osmosis membrane has at least 90% rejection and at least 15 gfd flux when tested on 1500 parts per million (ppm) sodium chloride at 150 psi and 25°C. More preferably this membrane has sodium chloride rejection from about 95 to about 99 percent with flux from about 15 to about 40 gfd under these test conditions.

**[0007]** Prior art reverse osmosis membranes in flat sheet, tubular, and hollow fiber forms are suitable for the disclosed treatment, provided they contain a crosslinked polyamide salt rejecting layer. Particularly preferred are thin film composite membranes. Thin film composite membranes may be prepared by a number of techniques commonly practiced for these membranes and particularly preferred are those prepared via interfacial polymerization onto a porous support structure. The porous support may be flat sheet, tubular or hollow fiber form.

**[0008]** Generally, the porous support contains a high porosity and uniformity of pore size. Preferable are the organic polymeric types whose surface pores range in size from about 10-1000 nanometers and most preferably from 50-500 nanometers. Particularly preferable are porous supports made from polysulfones and polyethersulfones.

**[0009]** In the typical interfacially polymerized polyamide composite membrane, the porous support is coated with an aqueous solution containing a polyfunctional amine reactant and, optionally, other compounds such as amine salts and/or surfactants. This amine-coated porous support is then contacted with a water-insoluble solvent containing a polyfunctional acyl halide reactant. This solvent is generally a hydrocarbon though other types may be used provided they do not interfere with the polymerization reaction or impair the performance of the porous support layer. Interfacial composite polyamide membranes as taught in US Patents 4,277,344, 4,872,984 and 5,576,057 are especially preferred.

**[0010]** The polyamide skin layer of a reverse osmosis membrane is coated with a solution containing an organic sulfonic acid compound. These acids alter the physical characteristics of the polyamide layer such that both water permeability and salt passage are increased. While not bound by any theory, it is hypothesized that the sulfonic acid compound swells the crosslinked polyamide and solvates the uncrosslinked polyamide structures making up the membrane skin layer. These

solvated fragments are then removed from the crosslinked film matrix leaving behind a more permeable polymeric skin layer.

**[0011]** Examples of sulfonic acid compounds include simple alkyl and aromatic sulfonic and disulfonic acids. These compounds may contain other functional groups such as carboxylic acid, hydroxy, alkoxy and halo groups as long as they do not prevent the desired solvency behavior. Specific examples include but are not limited to sulfoacetic acid, sulfobenzoic acids, sulfoisphthalic acids, sulfophthalic acids, sulfosalicylic acids, sulfosuccinic acid, hydroxybenzene sulfonic acids, hydroxybutane sulfonic acids and dihydroxybenzene sulfonic and disulfonic acids. The sulfonic acid is preferably a low molecular weight alkyl or fluoroalkyl sulfonic acid or mixtures thereof. Methanesulfonic acid particularly is preferred with concentrations ranging from about 5 to 100 volume percent demonstrating useful results.

**[0012]** The organic sulfonic acid concentration is effective over a wide range depending, upon the contact time and temperature and subsequent drying temperature. For example, a 100% acid solution contacted briefly then rinsed free of acid with little or no drying provides a similar result as a 20% acid solution contact without rinsing and dried at elevated temperature. In general, the higher the acid concentration, the longer its contact time, the hotter its contact temperature, and the hotter its drying time, the more permeable is the resultant polyamide composite membrane. Typically the membrane treatment solution is from about 10 to about 30 volume percent acid when elevated temperature drying is performed without removing the acid residual from the membrane. Alternatively, greater than about 50% acid concentration is typically used if rinsing is performed prior to drying the membrane. Other solvents besides water may advantageously be used, particularly those which swell the polyamide layer and/or decrease the surface tension of the treatment solution. It is believed that this behavior encourages penetration of the acid into the polyamide and enhances the treatment effect, Care should be taken, however, that such solvents do not adversely affect the underlying porous support or interfere with the action of the acid on the polyamide layer.

**[0013]** These solvents should also be capable of yielding homogeneous solutions with the acid and with water, Preferred solvents are alcohols, glycols, alkoxy alcohols, and carboxylic acids.

**[0014]** Optional additives, either solids or liquids, may be included in the acid treatment solution for the purpose of improving coating uniformity or drying performance as long as they also meet the requirements of solution compatibility discussed above. Examples include surfactants and glycols.

**[0015]** The organic acid solution can be coated onto the polyamide membrane by any number of practical techniques commonly available including dipping, spraying, sheeting, roll coating, etc, as long as it is applied evenly. It is typical to apply from about 1-15 and, more preferably, about 3-9 grams of acid per square meter of membrane. The application of the acid may be performed at ambient or elevated temperature depending on the desired effect, In general, the longer the contact time and temperature of the acid, the greater the permeability increasing effect of the treatment. Drying the acid-treated membrane is carried out using commonly available methods such as forced air, convection, infrared, etc. as long as the heat used is not degradative to performance of the treated membrane. Temperatures from ambient to about 130°C are preferred with 60°-100°C being most preferred and forced air is the most preferred drying mode. Heating the acid-treated membrane is necessary for the lower acid concentration applications in order to achieve the desired increase in membrane permeability, When using 100% methanesulfonic acid, however, no heating is required due to its potency as a solvent/swelling agent and it may even be rinsed off with water after only a brief contact period. The particular combination of acid strength and drying temperature can be optimized together to achieve the desired membrane performance,

**[0016]** Though not generally necessary, optional rinses or neutralization steps may be performed on the acid-treated membrane in order to remove acidic residue on the

surface of the membrane. Water may be used as a rinse for this purpose or in the case of neutralization, alkaline solutions may be used. Preferred examples of alkaline compounds useful in neutralizing solutions include sodium bicarbonate, sodium carbonate, and ammonium carbonate.

[0017] As an example of one embodiment of the invention, a thin film crosslinked polyamide membrane is coated with 10 percent methanesulfonic acid, 27 percent ethanol, 63 percent water solution using a soft woven Dacron® cloth. The coated membrane is then dried in an air oven at about 60°C to about 100°C for about 1 to about 10 minutes. Longer acid contact times and higher drying temperatures generally yield membranes with higher fluxes and lower salt rejections.

[0018] Following the treatment by acid, the nanofiltration or reverse osmosis membrane will typically provide rejections of sodium chloride in excess of 20 percent and magnesium sulfate rejections in excess of 90 percent with much greater water fluxes than the untreated original membrane. Preferably the acid-treated membrane will have a flux of at least about 15 gfd and a rejection of at least 20 percent when tested under reverse osmosis conditions at 75 psi on 0.05 weight percent sodium chloride. Given the operable range of this process one can achieve fluxes over 100 gfd with a sodium chloride rejection of about 30 percent or a sodium chloride rejection of over 97 percent with a water flux of about 20 gfd using the above test conditions.

[0019] Unlike prior art treatment as in US Patent No. 4,765,897, this invention provides membranes with high salt rejection without need of rejection enhancing agents. The high temperatures (100°-140°C) used with mineral acids in the prior art apparently degrade the polyamide skin layer such that repair by rejection enhancing becomes necessary. It is now demonstrated that the treatment of polyamide membranes with organic sulfonic acids at ambient to moderate temperatures can be carried out in a single step with improved results.



**[0020]** The following examples demonstrate the utility of the invention with the understanding that these particular examples in no way limit the scope of the invention. Acid and solvent components are stated as percent by volume whereas the test solutes sodium chloride and magnesium sulfate are stated as percent by weight.

EXAMPLES 1 -10; COMPARATIVE EXAMPLE A

**[0021]** The source of polyamide composite membrane used in all examples (a commercial product designated ESPA-1 manufactured and sold by Hydranautics of Oceanside, CA) was tested to establish a reference water flux and salt rejection from a 0.05 percent solution of sodium chloride at 75 psi and 25°C.

**[0022]** Solutions of 5-100% methanesulfonic acid (MSA) in water were coated onto this same source of membrane by either an inverted dip of the surface for two seconds or a full immersion for one minute at room temperature. After draining off the excess acid solution, the membrane samples were given various forced air drying. Most of the examples were given a deionized (DI) water rinse for 1 minute as an optional step followed by room temperature air drying. All the membrane samples were given the same test for an 18-hour period with results as shown in Table 1.

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[Table 1 follows on page 8]

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Table 1

Example	MSA, % Conc.	Contact Method	Dry °C	Rinse	RT Dry	Flux gfd	NaCl % Rejection
A	-	-	-	-	-	14.9	98.75
1	5	Dip	15 sec 27° / 30 sec 56°	Dip	+	24.1	97.27
2	10	Immerse	5 min 56°	Dip	+	26.6	95.91
3	10	Dip	2 min 27°	-	-	27.1	95.01
4	10	Dip	10 min 56°	Dip	+	31.7	93.41
5	15	Dip	5 min 56°	Dip	+	25.7	96.58
6	15	Dip	30 sec 27° / 2 min 100°	-	-	32.3	86.62
7	30	Dip	10 min 56°	Dip	+	35.6	92.67
8	60	Immerse	-	Immerse	+	19.3	94.05
9	100	Dip	-	Immerse	+	53.6	78.90
10	100	Dip	10 min 56°	Dip	+	63.0	67.65

**[0023]** As can be seen from the performance in Table 1, treatment of the polyamide composite membrane with organic sulfonic acid dramatically increases the water permeability by 1½-4 times while maintaining relatively high sodium chloride rejection. In general, the higher the MSA concentration, the greater the increase in membrane permeability. With MSA concentrations >60% it is possible to achieve good results without any heating or drying step, unlike prior art processes.

#### EXAMPLES 11-15

**[0024]** Trifluoromethanesulfonic acid (TFMSA) solutions of varying concentration in water at room temperature were dripped onto the surface of ESPA-1 membranes using a pipette.. After draining off the excess acid solution, the membranes were dried with forced air at 80°C for 2½ minutes, rinsed off with DI water and dried briefly at room temperature. Membranes were tested as in the above examples with results as indicated in Table 2,

Table 2

Example	TFMSA % Concentration	Flux (gfd)	NaCl % Rejection
11	10	26.6	98.43
12	30	39.5	96.41
13	50	46.7	95.78
14	75	52.2	95.02
15	85	51.7	90.80

[0025] From the test results of Table 2, it can be seen that treatment of the membrane with the fluorinated sulfonic acid is also quite effective in increasing the water permeability while maintaining high salt rejection.

EXAMPLES 16-20

[0026] In these examples, ESPA-1 membrane was coated with a solution of 10% methanesulfonic acid, 27% ethanol, 63% water (by volume) using a soft woven Dacron® cloth then air dried first at ambient temperature for 30 seconds then at 80°C for 1 minute, 20 seconds. As a check of the stability of the acid treated membrane, four-day old membrane test performance is compared against three-month dry stored membranes. It was found that the aged membrane actually produced slightly higher flux and rejection thus confirming the storage stability of these membranes. Various subsequent aqueous rinse treatments are compared against the control non-rinsed membrane with test results obtained in the same way as in the above examples. Results are listed in Table 3.

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/// [Table 3 follows on page 10]

Table 3

Example	Aging	Solution/Method Rinse	Temp °C	Time min	RT Dry	Flux gfd	NaCl % Rejection
16	4 days	-	-	-	-	38.7	94.36
17	3 months	-	-	-	-	45.1	95.68
18	3 months	Water soak	25	70	+	49.6	95.88
19	3 months	Water soak	45	70	+	35.8	97.32
20	3 months	1% Na <sub>2</sub> CO <sub>3</sub> filtration	25	3	+	66.8	89.56

[0027] The performance results in Table 3 indicate that plain water at ambient temperature yields a slight flux enhancement effect and similar salt rejection compared to the unrinsed control membrane. The 45°C water rinsed case experienced a 21 % reduction in flux and a 38% reduction in salt passage (100-salt rejection) suggesting slight beneficial treatment. Rinsing the acid-treated membrane with 1 % sodium carbonate significantly increased the flux and salt passage creating an even more permeable membrane product.

#### EXAMPLES 21-24

[0028] ESPA-1 membranes were treated with a solution containing 10% MSA 55% ethanol, 30% water and 6% glycerin. Examples 21-23 were cloth-coated as in the above examples whereas Example 24 had its acid solution vacuum-filtered through the membrane. All treated membranes were air dried, first at ambient temperature for 30 seconds followed by 80°C air drying for 2 minutes. Aqueous rinse treatments are compared with non-rinsed cases with test results as indicated in Table 4.

Table 4

Example	Contact Method	Solution/Method Rinse	Temp °C	Time min	RT Dry	Flux gfd	NaCl % Rejection
21	Cloth	-	-	-	-	40.8	94.69
22	Cloth	Water soak	25	70	+	54.0	92.96
23	Cloth	1% Na <sub>2</sub> CO <sub>3</sub>	25	70	+	48.8	94.34
24	Filtered	-	-	-	-	52.4	92.70

[0029] Overall the test results in Table 4 are similar to those of Table 3 with the exception of the 1% sodium carbonate-rinsed case which produced less increase in membrane permeability. The filtered-through acid treatment method appears to produce a slightly higher permeability membrane than the standard cloth-coated case perhaps due to increased penetration and/or treatment contact time.

#### EXAMPLES 25-29

[0030] Further utility of this invention is demonstrated in these examples in which ESPA-1 membranes are acid-treated at an elevated temperature without using a subsequent drying step. A 61% by volume aqueous solution of MSA was used as the immersion liquid for membrane samples at 100°C over varying time increments. After the indicated immersion time, the membrane samples were rinsed off briefly with DI water, soaked in fresh DI water for 5 minutes then dried briefly with ambient air. Test results, obtained as previously mentioned, are listed in Table 5.

Table 5

Example	Contact Time min	Flux (gfd)	NaCl % Rejection
25	10	38.6	98.18
26	20	42.4	97.80
27	40	51.2	96.48
28	80	71.2	89.27
29	160	152.	28.96

[0031] The results of Table 5 very clearly demonstrate a controllable increase in membrane permeability as the immersion time was increased. Excellent membrane fluxes and salt rejections were also achieved using an elevated temperature acid solution contact without the elevated temperature drying step.

EXAMPLES 30-32; COMPARATIVE EXAMPLE B

[0032] Direct treatment of spiral wound membrane filters is performed using 100% MSA solution at ambient temperatures with no subsequent drying step. Two filters were soaked directly in MSA for 1 and 2 hours respectively followed by rinsing with DI water for 5 minutes, and then tested under the above described conditions with results listed in Table 6 below.

Table 6

Example	Soak Time hours	Flux (gfd)	NaCl % Rejection
B	None	13.5	98.90
30	1	26.6	92.08
31	2	40.4	90.50

[0033] As seen in Table 6, it is now demonstrated that, in contrast to prior art, a direct, ambient only, treatment of finished product membrane filters is accomplished. As with all previous examples of this invention, no rejection enhancing step is required to achieve the desired results.

EXAMPLES 2, 3, 5 AND 16; COMPARATIVE EXAMPLE C

[0034] Previous Examples 2, 3, 5 and 16 are compared with prior art US Patent No. 4,765,897 Examples 12-15 in Table 7. In order to compare the membrane performances obtained from testing on 0.2 percent magnesium sulfate at 75 psi for the present invention with those of the prior art tested at 60 psi, the former test data was normalized to 60 psi with results as listed in Table 7.

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[Table 7 follows on page 13]

Table 7

Example	Description	75 psi		60 psi			
		Flux gfd	Rejection %	Flux gfd	Rejection %	SP %	Flux divided by SP
C	US 4,765,897 Ex. 12-15	-	-	26.6	94.4	5.60	4.75
2	Present Invention	26.5	99.49	19.4	99.32	0.68	28.5
3	Present Invention	23.6	99.22	17.3	98.93	1.07	16.2
5	Present Invention	21.3	99.72	15.6	99.62	0.38	41.1
16	Present Invention	34.8	98.81	25.5	98.37	1.63	15.6

[0035] As can be seen in Table 7, the magnesium sulfate rejections of the present invention membranes are considerably higher than those of the prior art membranes which require an additional rejection-enhancing treatment. When comparing Example C with Example 16, the magnesium sulfate passage (SP) of the former is found to be more than 3 times that of the present invention at a similar flux. A figure of merit calculation, which takes into account both flux and the salt passage of membranes to be compared, is used to determine the relative performance ranking. The last column of Table 7 showing these Flux/SP calculations indicates much higher performance values for the present invention membranes than the prior art (US Patent No. 4,765,897) membranes.

[0036] The test results of Table 7 clearly demonstrate superiority of the present invention over the prior art, both in terms of magnesium sulfate rejection and elimination of an additional salt rejection enhancement step. Typical performance of these new membranes is 15-30 gfd flux and greater than 95% rejection of magnesium sulfate when tested on 0.2 weight percent magnesium sulfate at 60 psig.

[0037] I/WE CLAIM:

**CLAIMS**

1. A method for production of a low pressure membrane useful for reverse  
2 osmosis and nanofiltration which comprises contacting a first membrane  
containing a crosslinked polyamide salt-rejecting layer with a solution of an  
4 organic sulfonic acid compound for a contact time and at a temperature sufficient  
to produce said low pressure membrane having a water flux of at least about 15  
6 gfd and a sodium chloride rejection of at least about 20 percent for reverse  
osmosis at 75 psi and 25°C using 0.05 weight percent aqueous sodium chloride.
2. A method as in Claim 1 wherein said crosslinked polyamide comprises the  
2 reaction product of an aromatic diamine or triamine and an aromatic triacyl  
halide.
3. A method as in Claim 2 wherein said crosslinked polyamide comprises the  
2 reaction product of an aromatic diamine or triamine, an aromatic triacyl halide  
and an aromatic diacyl halide.
4. A method as in Claim 1 wherein said first membrane comprises a thin film  
2 composite, flat sheet, hollow fiber or tubular membrane.
5. A method as in Claim 4 further comprising disposing said first membrane  
2 into a filtration device prior to contact thereof with said solution of an organic  
sulfonic acid compound.
6. A method as in Claim 4 further comprising disposing said first membrane  
2 into a filtration device following contact thereof with said solution of an organic  
sulfonic acid compound.



7. A method as in Claim 1 wherein organic sulfonic acid compound  
2 comprises a sulfoacetic, sulfobenzoic, sulfoisophthalic, sulfophthalic,  
sulfosalicylic, sulfosuccinic, hydroxybenzene sulfonic, hydroxybutane sulfonic,  
4 dihydroxy benzene sulfonic or dihydroxybenzene disulfonic acid or a mixture  
thereof.

8. A method as in Claim 1 wherein organic sulfonic acid compound  
2 comprises a C<sub>1</sub>-C<sub>6</sub> alkyl, alkenyl, haloalkyl, haloalkenyl or aryl sulfonic acid  
compound.

9. A method as in Claim 8 wherein said organic sulfonic acid compound  
2 comprises methanesulfonic acid, trifluoromethanesulfonic acid or a mixture  
thereof.

10. A method as in Claim 7 wherein said organic sulfonic acid compound  
2 further contains a C<sub>1</sub>-C<sub>8</sub> carboxylic acid, hydroxy, alkoxy or halo functional group  
or a combination thereof.

11. A method as in Claim 1 wherein said solution of an organic sulfonic acid  
2 compound comprises said organic sulfonic acid compound dispersed or  
dissolved in water, alcohol, glycol, alkoxy alcohol or a carboxylic acid or a  
4 mixture thereof.

12. A method as in Claim 1 wherein said low pressure membrane has a  
2 sodium chloride rejection of at least about 80 percent and a flux of at least about  
5 gfd when tested on 0.05 percent aqueous sodium chloride at 150 psi and  
4 25°C.

///

13. A low pressure membrane useful for reverse osmosis and nanofiltration  
2 produced according to the method of Claim 1 and having water flux of at least  
about 15 gfd and a sodium chloride rejection of at least about 20 percent for  
4 reverse osmosis at 75 psi and 25°C using 0.05 weight percent aqueous sodium  
chloride.

15. A membrane as in Claim 1 having a sodium chloride rejection of at least  
2 about 80 percent and a flux of at least about 5 gfd when tested on 0.05 percent  
aqueous sodium chloride at 150 psi and 25°C.

16. A composite membrane useful for reverse osmosis or nanofiltration  
2 comprising:

a supportive porous under-structure; and

4 a top layer consisting of a crosslinked polyamide thin film which is  
adhered to the upper surface of the porous support structure, said top layer  
6 having been contacted with a solution of an organic sulfonic acid compound;

whereby said membrane has a water flux of at least about 15 gfd and a  
8 rejection of at least 20 percent when tested an a 0.05 percent aqueous sodium  
chloride at 75 psi and 25°C.

17. A composite membrane as in Claim 16 wherein said crosslinked  
2 polyamide comprises the reaction product of an aromatic diamine or triamine and  
an aromatic triacyl halide.

18. A composite membrane as in Claim 17 wherein said crosslinked  
2 polyamide comprises the reaction product of an aromatic diamine or triamine, an  
aromatic triacyl halide and an aromatic diacyl halide.

19. A composite membrane as in Claim 16 wherein the porous support is a  
2 polyarylethersulfone.

20. A composite membrane as in Claim 16 wherein said first membrane  
2 comprises a thin film, flat sheet, hollow fiber or tubular membrane.

21. A composite membrane as in Claim 16 wherein the membrane is a  
2 component of a spiral-wound membrane filter or a plate and frame filter.

22. A composite membrane as in Claim 16 wherein said organic sulfonic acid  
2 compound comprises a sulfoacetic, sulfobenzoic, sulfoisophthalic, sulfophthalic,  
sulfosalicylic, sulfosuccinic, hydroxybenzene sulfonic, hydroxybutane sulfonic,  
4 dihydroxy benzene sulfonic or dihydroxy benzene disulfonic or a mixture thereof.

23. A composite membrane as in Claim 16 wherein said organic sulfonic acid  
2 compound comprises a C<sub>1</sub>-C<sub>6</sub> alkyl, alkenyl, haloalkyl, haloalkenyl or aryl sulfonic  
acid compound.

24. A composite membrane as in Claim 23 wherein said organic sulfonic acid  
2 compound comprises methanesulfonic acid, trifluoromethanesulfonic acid or a  
mixture thereof.

25. A composite membrane as in Claim 22 wherein said organic sulfonic acid  
2 compound further contains a C<sub>1</sub>-C<sub>8</sub> carboxylic acid, hydroxy, alkoxy or halo  
functional group or a combination thereof.

26. A composite membrane as in Claim 16 wherein said solution of an organic  
2 sulfonic acid compound comprises said organic sulfonic acid compound  
dispersed or dissolved in water, alcohol, glycol, alkoxy alcohol or a carboxylic  
4 acid or a mixture thereof.

[illegible]

**ABSTRACT**

Novel low pressure reverse osmosis and nanofiltration membranes and a process for their preparation are disclosed. Polyamide reverse osmosis membranes are contacted with organic sulfonic acid solutions without the need for additional treatment by a rejection enhancing agent. These membranes provide sodium chloride rejections of greater than 20 percent and water fluxes greater than 15 gallons per square foot per day at a test pressure of 75 psi. Optimally treated membranes when tested similarly on 0.2 percent magnesium sulfate provide rejections greater than 95 percent with water fluxes greater than 15 gallons per square foot per day.

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<b>DECLARATION FOR UTILITY OR DESIGN PATENT APPLICATION</b>	Attorney Docket	7703-PA02
	First Named Inventor	John E. Tomaschke
	COMPLETE IF KNOWN	
	Application Number	Not Yet Assigned
	Filing Date	Herewith
	Group Art Unit	Not Yet Assigned
	Examiner Name	Not Yet Assigned

☒ Declaration Submitted with Initial Filing    ☐ Declaration Submitted after Initial Filing

**As a below named inventor, I hereby declare that:**

My residence, post office address, and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

LOW PRESSURE REVERSE OSMOSIS AND NANOFILTRATION MEMBRANES AND METHOD FOR THE PRODUCTION THEREOF

(Title of the Invention)

the specification of which

☒ is attached hereto

OR

☐ was filed on (MM/DD/YYYY)  as United States Application Number or PCT International

Application Number

 and was amended on (MM/DD/YYYY)  (if applicable.)

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in Title 37 Code of Federal Regulations, §1.56.

I hereby claim foreign priority benefits under Title 35, United States Code §119(a)-(d) or §365(b) of any foreign application(s) for patent or inventor's certificate, or §365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or of any PCT international application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application Numbers	Country	Foreign Filing Date (MM/DD/YYYY)	Priority Not Claimed	Certified Copy Attached? YES      NO
			<input type="checkbox"/>	<input type="checkbox"/> <input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/> <input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/> <input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/> <input type="checkbox"/>

☐ Additional foreign application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto:

I hereby claim the benefit under Title 35, United States Code § 119(e) of any United States provisional application(s) listed below.

Application Number(s)	Filing Date (MM/DD/YYYY)

☐ Additional provisional application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto

## DECLARATION - Utility or Design Patent Application

I hereby claim the benefit under Title 35, United States Code §120 of any United States application(s), or §365(c) of any PCT International application designating the United States of America, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of Title 35, United States Code §112, I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations §1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application.

U.S. Patent Application Number	PCT Parent Number	Parent Filing Date (MM/DD/YYYY)	Parent Patent Number (if applicable)

☐ Additional U.S. or PCT international application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto.

As a named inventor, I hereby appoint the following registered practitioner(s) to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith. Registered practitioner(s) name/registration number listed below:

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I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

NAME OF SOLE OR FIRST INVENTOR: ☐ A petition has been filed for this unsigned inventor

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NAME OF SECOND INVENTOR: ☐ A petition has been filed for this unsigned inventor

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Inventor's Signature				Date			
Residence: City		State		Country		Citizenship	
Post Office Address							
Post Office Address							
City		State		Zip		Country+	

☐ Additional Inventors are being named on the supplemental Additional Inventor(s) sheet(s) PTO/SB/02A attached hereto.